

# City of Flint, Michigan

*Third Floor, City Hall  
1101 S. Saginaw Street  
Flint, Michigan 48502  
[www.cityofflint.com](http://www.cityofflint.com)*



## Meeting Agenda - Final

Wednesday, August 18, 2021

5:00 PM

**ELECTRONIC PUBLIC MEETING**

### **GRANTS COMMITTEE**

*Allan Griggs, Chairperson, Ward 8  
Eva Worthing, Vice Chairperson, Ward 9*

*Eric Mays, Ward 1  
Santino J. Guerra, Ward 3  
Jerri Winfrey-Carter, Ward 5*

*Monica Galloway, Ward 7*

*Maurice Davis, Ward 2  
Kate Fields, Ward 4  
Herbert J. Winfrey, Ward 6*

*Inez M. Brown, City Clerk*

*Davina Donahue, Deputy City Clerk*

**SPECIAL PUBLIC NOTICE -- ELECTRONIC PUBLIC MEETING****PUBLIC NOTICE  
FLINT CITY COUNCIL ELECTRONIC PUBLIC MEETING**

On Friday, October 5, 2020, the Michigan Supreme Court (MSC) issued an order declaring that the Emergency Powers of Governor (EPG) Act as an unconstitutional delegation of legislative authority, which was the primary authority relied on by Governor Whitmer for her COVID-19 related executive orders. Subsequently, Governor Whitmer requested that the MSC clarify that their order does not go into effect until October 30, 2020. On Monday October 12, 2020, the Michigan Supreme Court rejected Governor Whitmer's request to delay the effect of its decision to strike down the EPG. On Tuesday, October 13, 2020, Senate Bill 1108 passed, amending the Open Meetings Act to allow municipalities to hold electronic meetings. On Friday, October 16, 2020, Governor Whitmer signed into law Senate Bill 1108 amending the Open Meetings Act. Subsequently, on December 22, 2020, Public Act 267 of 1976 was amended through Senate Bill 1246 extending the electronic meetings with no reason through March 31, 2021. The act also allows that after March 31, 2021, electronic meetings may be held if a local state of emergency was declared. On March 23, 2020, the Flint City Council extended Mayor Neeley's declaration of emergency indefinitely due to the COVID-19 pandemic. Therefore, this meeting will be held electronically.

Pursuant to Act 267 of the Public Acts of 1976 Open Meetings Act as amended and Flint City Charter Section 3-202, notice is hereby given that the Flint City Council hereby calls for Regular Meetings of the Flint City Council scheduled to be held as follows:

**Flint City Council Committee Meetings  
(Finance, Governmental Operations, Legislative & Grants)  
Wednesday, August 18, 2021, at 5 p.m.**

The public and media may listen to the meeting online by live stream at <https://www.youtube.com/c/FlintCityCouncilMeetings> or through Start Meeting by dialing (617) 944-8177. If unable to call in, please dial (206) 451-6011.

1. In order to speak during the PUBLIC SPEAKING PERIOD of each meeting by telephone, participants will also call (617) 944-8177. (If unable to call in, please dial (206) 451-6011):
  - a. All callers will be queued and muted until the Public Speaking portion of each agenda;
  - b. Public speakers will be unmuted in order and asked if they wish to address the City Council ON ANY SUBJECT;
  - c. Public speakers should state and spell their name for the record and will be allowed two (2) minutes for public speaking during each meeting;
  - d. The speaker will be returned to mute after the 2 minutes have expired;
  - e. After the telephonic public speakers for the last committee meeting are completed, emailed public comments will be read by the City Clerk. All emailed public comments will be timed for 2 minutes;
  - f. Per Rules Governing Meetings of the Council (Rule 7.1 VII), there will only be one speaking opportunity per speaker per meeting.

Consequently, public participants who call in and speak during the public speaking period of the meetings WILL NOT have written comments as submitted read by the City Clerk.

2. The public may send public comments by email to [CouncilPublicComment@cityofflint.com](mailto:CouncilPublicComment@cityofflint.com) no later than 10 minutes prior to the meeting start time of 5 p.m.

3. Persons with disabilities may participate in the meeting by the above-mentioned means or by emailing a request for an accommodation to [CouncilPublicComment@cityofflint.com](mailto:CouncilPublicComment@cityofflint.com), with the subject line Request for Accommodation, or by contacting the City Clerk at (810) 766-7418 to request accommodation - including but not limited to interpreters.

If there are any questions concerning this notice, please direct them to City Council office at (810) 766-7418.

## ROLL CALL

## MEMBER REMOTE ANNOUNCEMENT

*Pursuant to the newly revised Open Meetings Act, each Council member shall state that they are attending the meeting remotely and shall state where he or she is physically located (county or city and state).*

## MEMBER CONTACT INFORMATION

*Eric Mays - (810) 922-4860; Maurice Davis - mdavis@cityofflint.com; Santino Guerra - sguerra@cityofflint.com; Kate Fields - kfields@cityofflint.com; Jerri Winfrey-Carter - jwinfrey-carter@cityofflint.com; Herbert Winfrey - (810) 691-7463; Monica Galloway - mgalloway@cityofflint.com; Allan Griggs - agriggs@cityofflint.com; Eva Worthing - eworthing@cityofflint.com.*

## PROCEDURES ON CONDUCTING ELECTRONIC MEETINGS

*All boards and commissions must adhere to all laws established under the Michigan Compiled Laws and in accordance with the revisions to the Open Meetings Act adopted in Senate Bill 1246, as passed on December 17, 2020, and signed into law on December 22, 2020, and subsequent amendments that may be adopted.*

## READING OF DISORDERLY PERSONS CITY CODE SUBSECTION

*Any person that persists in disrupting this meeting will be in violation of Flint City Code Section 31-10, Disorderly Conduct, Assault and Battery, and Disorderly Persons, and will be subject to arrest for a misdemeanor. Any person who prevents the peaceful and orderly conduct of any meeting will be given one warning. If they persist in disrupting the meeting, that individual will be subject to arrest. Violators shall be removed from meetings.*

## PUBLIC SPEAKING

*Per the amended Rules Governing Meetings of the Council (as adopted by the City Council on Monday, June 12, 2017), two (2) minutes per speaker. Only one speaking opportunity per speaker.*

## COUNCIL RESPONSE

*Per the amended Rules Governing Meetings of the Council (as adopted by the City Council on Monday, June 12, 2017), Councilpersons may respond to any public speaker, but only one response and only when all public speakers have been heard. Individual council response is limited to two minutes.*

## RESOLUTIONS

**210401** Grant Acceptance/Budget Amendment/Transfer of Funds/Charles Stewart Mott Foundation Grant/Event Policing & Public Safety

Resolution resolving that the appropriate city officials, upon City Council's approval, are authorized to do all things necessary to accept the grant funds set forth in the grant agreement of C.S. Mott Grant #G-2019-04495, in the amount of \$134,187.00, to appropriate revenue and expenditure amounts, and to make the grant funds

available from January 1, 2021, through December 31, 2021. [NOTE: The Charles Stewart Mott Foundation has awarded a grant to the City of Flint for Flint Police Department coverage at all downtown events, including traffic redirection and street closures. The amount awarded, \$100,000.00, will be combined with grant money carried over from last year (\$34,187.00) for a total this year of \$134,187.00.]

**210402** Grant Acceptance/Budget Amendment/Transfer of Funds/Charles Stewart Mott Foundation Grant/Completion/Comprehensive Financial Report

Resolution resolving that the appropriate city officials, upon City Council's approval, are authorized to accept the grant funds set forth in the grant agreement of C.S. Mott Grant #G-2021-08616, in the amount of \$250,000.00, to appropriate revenue and expenditure amounts, and to make the grant funds available from June 1, 2021, through May 31, 2022. [NOTE: The Charles Stewart Mott Foundation has awarded a grant to the City of Flint for the completion of the Annual Comprehensive Financial Report/Audit Support.]

**210404** Budget Amendment/ReCAST (Resiliency in Communities After Stress & Trauma) Grant/U.S. Department of Health & Human Services (DHHS)/Substance Abuse & Mental Health Services Administration (SAMHSA)

Resolution resolving that the appropriate city officials, upon City Council's approval, are authorized to do all things necessary to abide by the terms and conditions of U.S. Department of Health and Human Services Substance Abuse and Mental Health Services Administration Grant #1H79SM084918-01, in the amount of \$1,000,000.00, renewable up to four (4) years, for a total of \$5,000,000.00, to appropriate revenue and expenditure amounts using Grant Code FHHS21RECAST, and make the grant funds available in the current year and any subsequent fiscal years that the funding continues to remain available by the grantor. [NOTE: The Flint ReCAST is intended to assist high-risk youth and families in the City of Flint impacted by the Flint Water Emergency.]

## DISCUSSION ITEMS

## ADJOURNMENT



RESOLUTION NO.: 210401

PRESENTED: AUG 18 2021

ADOPTED: \_\_\_\_\_

**RESOLUTION TO ACCEPT A GRANT FROM CS MOTT FOUNDATION FOR EVENT POLICING AND PUBLIC SAFETY**

**BY THE CITY ADMINISTRATOR:**

**Whereas**, the Charles Stewart Mott Foundation has awarded a grant to the City of Flint for Flint Police Department coverage at all downtown events, including traffic redirection and street closures.

**Whereas**, Grant #G-2019-04495 has been awarded in the amount of \$100,000.00 for Flint Police Department coverage for all downtown events, including traffic redirection and street closures.

**Whereas**, Grant #G-2017-02081 has been approved to carryover a total of \$34,187.00 and combine these dollars with Grant #G-2019-04495.

**Whereas**, the FY21 adopted budget must be amended to include the awarded funds,

**IT IS RESOLVED** that the appropriate City officials are authorized to do all things necessary to accept the grant funds set forth in the grant agreement of C.S. Mott Grant #G-2019-04495 in the amount of \$134,187.00 to appropriate revenue and expenditure amounts and to make the grant funds available from January 1, 2021 through December 31, 2021.

**Approved as to Form:**

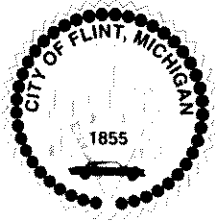
Angela Wheeler  
Angela Wheeler (Aug 11, 2021 16:09 EDT)  
**Angela Wheeler, Chief Legal Officer**

**Approved as to Finance:**

Jennifer Ryan  
Jennifer Ryan (Aug 11, 2021 15:08 EDT)  
**Shelbi Frayer, Chief Financial Officer**

CLYDE D EDWARDS  
CLYDE D EDWARDS (Aug 11, 2021 18:07 EDT)  
**Clyde D. Edwards, City Administrator**

\_\_\_\_\_  
**Kate Fields, Council President**



RESOLUTION NO.: \_\_\_\_\_

PRESENTED: \_\_\_\_\_

ADOPTED: \_\_\_\_\_

**RESOLUTION STAFF REVIEW**

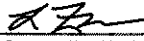
**Date:** July 28, 2021

**Agenda Item Title:**

RESOLUTION TO ACCEPT A GRANT FROM CS MOTT FOUNDATION FOR EVENT POLICING AND PUBLIC SAFETY

**Prepared by:**

Lottie Ferguson, Chief Resilience Officer

  
Lottie Ferguson (Aug 11, 2021 13:42 EDT)

**Background/Summary of Proposed Action:**

In recent years, the downtown area has developed into a central attraction for Genesee County residents and City of Flint residents. It has been awakened with new restaurants, shops, opportunities, and has been a place for new artists and local organizations to showcase their talents. The past year has been extremely difficult to navigate new mandates and procedures to ensure safety during the COVID-19 pandemic. The City of Flint will use these funds to continue protecting all individuals who come to the downtown area.

This grant will be carrying over a total of \$34,187 in unexpended funds from Grant# G-2017-02080 and will be combining these funds into Grant# G-2019-04495 to cover the expenses of City of Flint Police Department wages, fringe benefits, and other costs associated with the department when providing coverage at downtown events, including traffic redirection and street closures.

As per the Notice of Award from the CS Mott Foundation from May 4, 2021, the entire new grant plus carryover will break down as shown below;

Budget Item	Expenditures
Traffic (Street Closures/Redirections)	\$51,365.95
Police Fringes and Salaries	\$70,079.35
Admin - 9.5%	\$12,741.70
Total	\$134,187.00



RESOLUTION NO.: \_\_\_\_\_

PRESENTED: \_\_\_\_\_

ADOPTED: \_\_\_\_\_

**Financial Implications:**

A grant was received in the amount of \$100,000.00 for one-year to cover the expenses for wages, fringe benefits, and other costs associated with the City of Flint Police Department when covering downtown events, including traffic redirection and street closures..

**Budgeted Expenditure:** Yes  No

After the remaining balances that are carrying over are taken out, the \$100,000 in new funding granted will be distributed as shown;

Account Number & Grant Code	Account Name	Amount
296-315.100-801.900 PCSM-EVENT21	Patrol Bureau Administration	\$42,662.35
296-443.201-801.900 PCSM-EVENT21	Traffic Engineering Service Maintenance	\$44,595.95
296-443.201-969.100 PCSM-EVENT21	Indirect (Administration)	\$12,741.70

**Pre-encumbered:** Yes  No

**Requisition #:** \_\_\_\_\_

**Other Implications:**

**Staff Recommendation:** Staff recommends approval of this resolution.

**APPROVAL** *Martita Moffett-Page*  
Martita Moffett-Page (Aug 11, 2021 15:05 EDT)



CHARLES STEWART  
MOTT FOUNDATION

July 22, 2021

The Honorable Sheldon Neeley  
Mayor  
City of Flint  
1101 S. Saginaw Street  
Flint, MI 48502-1420

Project: Event Policing and Public Safety  
(Grant No. 2019-04495)

Dear Mayor Neeley:

We are pleased to inform you that the Charles Stewart Mott Foundation has approved a grant in the amount of \$100,000 to the City of Flint for the above-referenced project for the period January 1, 2021 through December 31, 2021.

The Mott Foundation has made a one-time exception and approved the carryover of \$34,187 of unexpended funds from Grant No. 2017-02080 to this grant for a combined total of \$134,187. The \$134,187 balance is subject to the terms and conditions of this letter and shall be used by the City of Flint for the Event Policing and Public Safety project, as described in your proposal submitted May 4, 2021.

#### Grant Payments

This grant will be paid upon receipt of your acceptance.

The Mott Foundation reserves the right to discontinue, modify, or withhold any payments that might otherwise be due under this grant or any other outstanding grant, to require a refund of any unexpended grant funds, or both, if, in the Mott Foundation's judgment, any of the following occur with respect to this grant or any other grant from the Mott Foundation to your organization:

1. Grant funds have been used for purposes other than those contemplated by this commitment letter.
2. Such action is necessary to comply with the requirements of any law or regulation affecting either your organization's or the Mott Foundation's responsibilities under the grant.



The Honorable Sheldon Neeley  
July 22, 2021  
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3. Your organization ceases to conduct this project, or circumstances change such that it becomes impractical or impossible for you to carry out this project.
4. Your organization's performance under this grant has not been satisfactory, as determined by the Mott Foundation in its reasonable discretion. Although the Mott Foundation expects your organization to work toward achieving the goals and objectives described in your proposal, unless a specific condition (or barrier) is identified above, the failure to obtain any specific goal or objective will not, alone, be cause for the Mott Foundation to determine that your organization's performance has not been satisfactory, but may be relevant in determining whether your overall performance has (or has not) been satisfactory.
5. The Mott Foundation has not received and approved all reports due from your organization prior to the payment date.

The Mott Foundation's judgment on these matters will be final and binding.

#### Mott Foundation Contact Person and Resources

Please direct all correspondence and questions relating to this grant to Jennifer Acree, Program Officer.

For general information regarding Mott Foundation grant procedures and other grant related questions, we encourage you to visit the Grantee Resources section of our website at [www.mott.org/grantee-resources](http://www.mott.org/grantee-resources).

Another resource available to grantees is the Grantee Portal. The Grantee Portal provides real-time information on your grant's reporting requirements and due dates. By using the Grantee Portal, you may view a copy of this commitment letter, download copies of forms, and upload required reports directly to the Mott Foundation. For more information about the Grantee Portal, contact your program officer or login at <https://mott.fluxx.io>. The grant's primary project contact, Sheldon Neeley, can login at <https://mott.fluxx.io> with their registered email address.

#### Use of Grant

Under United States law, Mott Foundation grant funds may be expended only for charitable, scientific, literary, religious, or educational purposes, as specified in section 170(c)(2)(B) of the Internal Revenue Code of 1986, as amended. This grant is to be expended solely in support of the objectives detailed in your proposal submitted May 4, 2021.

Your organization shall not, directly or indirectly, engage in, support or promote violence or terrorist activities.



The Honorable Sheldon Neeley  
July 22, 2021  
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Your organization confirms that this project is under its complete control. Your organization further confirms that it has and will exercise control over the process of selecting any consultant, that the decision made or that will be made on any such selection is completely independent of the Mott Foundation, and further, that there does not exist an agreement, written or oral, under which the Mott Foundation has caused or may cause the selection of a consultant.

Mott Foundation grant funds may not be used for lobbying expenditures.

Mott Foundation grant funds may not be used for re-granting to secondary organizations.

Your organization may charge this grant only for expenditures incurred or services performed during the grant period specified in this letter.

Your organization may charge this grant only for line item expenditures that were included in your approved budget as referenced in the "Reports" section of this letter. The addition of new line items must have the prior written approval of the Mott Foundation.

Expenditures may not exceed the approved budget amount for the following line item:

- Admin.

#### Grant Accounting

Your organization is required to maintain financial records for expenditures and receipts relating to this grant, retaining these records and other supporting documentation for five years after the grant's termination date.

Your organization is also required to permit the Mott Foundation to have reasonable access to your files, records and personnel during the term of this grant and for five years thereafter for the purpose of making financial audits, verifications, or program evaluations.

Unless a specific condition (or barrier) is listed in the "Grant Payments" section of this letter, the Mott Foundation does not intend, in its own financial statements, to treat this grant as a "conditional contribution" described under Financial Accounting Standards Board (FASB) Accounting Standards Update (ASU) 2018-08. Your organization should make its own determination as to how to account for this grant in your financial statements and is not required (under FASB ASU 2018-08) to adopt the same accounting treatment as the Mott Foundation.

#### Reports

**The Mott Foundation requires the following report to be submitted for this grant:**

**Report Type:**  
Final Report

**For Period Ending:**  
December 31, 2021

**Due on or Before:**  
February 1, 2022



The Honorable Sheldon Neeley  
July 22, 2021  
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**The report must include the following parts, which must be submitted together:**

1. A **narrative report** summarizing what was accomplished by the expenditure of funds during the reporting period. Your grant proposal indicated that your organization will work toward achieving certain goals and objectives during the grant period, and the narrative report should include a description of progress made toward achieving the following reporting objectives:
  - a. Number of festivals and events supported by the grant.
  - b. Criteria for funding assistance requests.
  - c. Data on increase of public safety presence for events and festivals due to grant support including officer present.
  - d. Evidence of maintenance of safe environment for festival goers and participants.
2. A **financial report** showing the approved budget, expenditures against each line item since the start of the grant, and balances remaining (or overruns) for each line item. For the final report, you must explain all overrun variances that exceed both one thousand dollars (\$1,000) and ten percent (10%) of the budgeted line item amount.

**Your organization must report against the approved budget of \$134,187 submitted on June 4, 2021** (which may be greater than the amount of the Mott Foundation grant). If the approved budget covers multiple years, each report should include cumulative expenditures since the beginning of the grant period. The report must also include a summary of all funding received for this project (listed by source and grant period).

Unless a specific condition (or barrier) is listed in the “Grant Payments” section of this letter, the Mott Foundation is not requiring that your organization achieve any of the reporting objectives listed above as a condition (or barrier) to your receipt and retention of the grant funds. Rather, the reporting objectives are meant to capture your progress in achieving the goals and objectives identified in your grant proposal.

Reports and other grant requirements should be submitted online via the Mott Foundation’s Grantee Portal. A default portal account has been setup for the primary project contact. The project contact can login at <https://mott.fluxx.io> with their registered email address. Please contact your program officer if you need assistance or to change the project contact. Standard reporting templates and other forms are available for download via the Grantee Portal.



The Honorable Sheldon Neeley  
July 22, 2021  
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### Undisbursed Funds

Your organization is required to return any undisbursed project funds on a prorata basis to the Mott Foundation within two months after the end of this grant. The prorata refund is computed by multiplying the total undisbursed project funds by the ratio of Mott Foundation funding to total funding received for this project for the grant period. Any refund of less than \$100 will be waived.

### Compliance with Laws

Your organization may not use any portion of the grant funds to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the Internal Revenue Code. Further, the Mott Foundation reserves the right to discontinue, modify, or withhold any payments that might otherwise be due under this grant or to require a refund of any unexpended grant funds if, in the Mott Foundation's judgment, such action is necessary to comply with the requirements of any law or regulation.

### Public Information

The Mott Foundation will include information on this grant in its periodic public reports. The Mott Foundation also welcomes grantees to make announcements of grants upon return of this signed commitment letter. A copy of any release should be sent to the Mott Foundation's Communications Department prior to its dissemination. The department is available to provide assistance in your communications efforts.

### Acceptance

This letter contains the entire agreement between your organization and the Charles Stewart Mott Foundation, and there are no conditions or stipulations, oral or written, governing the use of the grant funds other than those contained in this letter.

If your organization agrees to the grant conditions as stated, please **sign and return, via DocuSign**, one complete copy of this letter **with an electronic signature** of an appropriate representative of your organization in the space provided. In countersigning this letter, this individual represents to the Mott Foundation that he/she has the authority to sign this letter on the organization's behalf.

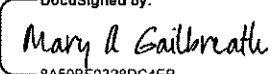
This grant may be withdrawn if the Mott Foundation has not received your acceptance within one month from the date of this letter.



The Honorable Sheldon Neeley  
July 22, 2021  
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On behalf of the Mott Foundation, I would like to extend our best wishes for the success of this endeavor.

Sincerely,

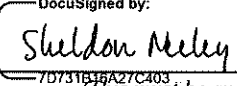
DocuSigned by:  
  
8A59BF0328DC4EB  
Mary A. Gailbreath  
Vice President-Administration and Secretary/Treasurer

MAG:cmm

Our organization acknowledges that appropriate personnel have read and understand this letter, that its terms and conditions are acceptable to us, and that we will comply with those terms and conditions.

Name of Grantee: City of Flint

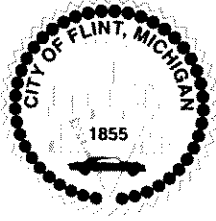
Printed Name of Authorized Signer: Sheldon Neeley

Authorized Signature:   
70731B38A27C403  
*(This must be an original signature of an authorized representative of the organization.)*

Title: Mayor, City of Flint

Date Signed: 7/23/2021





RESOLUTION NO.: 210402

PRESENTED: AUG 18 2021

ADOPTED: \_\_\_\_\_

**RESOLUTION TO ACCEPT A GRANT FROM CS MOTT FOUNDATION FOR THE COMPLETION OF  
THE CITY'S ANNUAL COMPREHENSIVE FINANCIAL REPORT**

**BY THE CITY ADMINISTRATOR:**

**Whereas**, the Charles Stewart Mott Foundation has awarded a grant to the City of Flint for the completion of the Annual Comprehensive Financial Report/Audit Support.

**Whereas**, Grant # 2021-08616 has been awarded in the amount of \$250,000.00 to complete the City's Annual Comprehensive Financial Report The purpose of the ACFR is to be transparent about the use of taxpayers; money and to give the public a detailed accounting of all expenditures.

**Whereas**, the FY21 adopted budget must be amended to include the awarded funds,

**IT IS RESOLVED** that the appropriate City officials are authorized to do all things necessary to accept the grant funds set forth in the grant agreement of C.S. Mott Grant #G-2021-08616 in the amount of \$250,000.00 to appropriate revenue and expenditure amounts and to make the grant funds available from June 1, 2021, through May 31, 2022.

**Approved as to Form:**

*Angela Wheeler*  
Angela Wheeler (Aug 11, 2021 17:25 EDT)  
**Angela Wheeler, Chief Legal Officer**

**Approved as to Finance:**

*Jennifer Ryan*  
Jennifer Ryan (Aug 11, 2021 15:47 EDT)  
**Shelbi Frayer, Chief Financial Officer**

*CLYDE D EDWARDS*  
CLYDE D EDWARDS (Aug 11, 2021 18:04 EDT)  
**Clyde D. Edwards, City Administrator**

\_\_\_\_\_  
**Kate Fields, Council President**

**RESOLUTION STAFF REVIEW**


**Date:** August 11, 2021

**Agenda Item Title:**

RESOLUTION TO ACCEPT A GRANT FROM CS MOTT FOUNDATION FOR ACFR

**Prepared by:**

Lottie Ferguson, Chief Resilience Officer

  
Lottie Ferguson (Aug 11, 2021 15:39 EDT)

**Background/Summary of Proposed Action:**

On June 22, 2020, the Flint City Council adopted a resolution to enter into a three-year agreement with the auditing firm Rehmann Robson to complete the City's Annual Comprehensive Financial Report (ACFR). The purpose of the ACFR is to be transparent about the use of taxpayers' money and to give the public a detailed accounting of all expenditures. An annual government audit is not only required, but also essential to delivering an objective and independent view of the City's government programs and services. The audit also helps in providing accountability to the use of public funds. The projected cost of the upcoming ACFR for the fiscal year ending June 30, 2021 is expected to be \$250,000.

**Financial Implications:**

A grant was received in the amount of \$250,000.00 for one year for ACFR audit support.

**Budgeted Expenditure:** Yes \_\_\_ No X **Please explain, if no:**

**Pre-encumbered:** Yes \_\_\_ No x **Requisition #:** \_\_\_\_\_

**Other Implications:** No other implications are known at this time.

**Staff Recommendation:** Staff recommends approval of this resolution.

  
**APPROVAL** Martita Moffett-Page (Aug 11, 2021 15:46 EDT)

Account Number & Grant Code	Account Name	Amount
296-101.100-801.000 <b>PCSM-AUDIT21</b>	Professional Services	250,000



CHARLES STEWART  
MOTT FOUNDATION

June 15, 2021

The Honorable Sheldon Neeley  
Mayor, City of Flint  
1101 S. Saginaw Street  
Flint, MI 48502-1420

Project: Audit Support  
(Grant No. 2021-08616)

Dear Mayor Neeley:

We are pleased to inform you that the Charles Stewart Mott Foundation has approved a grant in the amount of \$250,000 to the City of Flint for the above-referenced project for the period June 1, 2021 through May 31, 2022.

Grant Payments

This grant will be paid upon receipt of your acceptance.

This letter or your proposal may set forth specific goals or objectives that your organization expects to achieve during the grant period. For accounting purposes, the Mott Foundation is not requiring that your organization achieve any specific goal or objective as a condition (or barrier) to your receipt and retention of the grant funds, except for the following:

- No conditions.

The Mott Foundation reserves the right to discontinue, modify, or withhold any payments that might otherwise be due under this grant or any other outstanding grant, to require a refund of any unexpended grant funds, or both, if, in the Mott Foundation's judgment, any of the following occur with respect to this grant or any other grant from the Mott Foundation to your organization:

1. Grant funds have been used for purposes other than those contemplated by this commitment letter.



The Honorable Sheldon Neeley  
June 15, 2021  
Page 2 (Grant No. 2021-08616)

2. Such action is necessary to comply with the requirements of any law or regulation affecting either your organization's or the Mott Foundation's responsibilities under the grant.
3. Your organization ceases to conduct this project, or circumstances change such that it becomes impractical or impossible for you to carry out this project.
4. Your organization's performance under this grant has not been satisfactory, as determined by the Mott Foundation in its reasonable discretion. Although the Mott Foundation expects your organization to work toward achieving the goals and objectives described in your proposal, unless a specific condition (or barrier) is identified above, the failure to obtain any specific goal or objective will not, alone, be cause for the Mott Foundation to determine that your organization's performance has not been satisfactory, but may be relevant in determining whether your overall performance has (or has not) been satisfactory.
5. The Mott Foundation has not received and approved all reports due from your organization prior to the payment date.

The Mott Foundation's judgment on these matters will be final and binding.

#### Mott Foundation Contact Person and Resources

Please direct all correspondence and questions relating to this grant to Amy Hovey, Special Projects Coordinator.

For general information regarding Mott Foundation grant procedures and other grant related questions, we encourage you to visit the Grantee Resources section of our website at [www.mott.org/grantee-resources](http://www.mott.org/grantee-resources).

Another resource available to grantees is the Grantee Portal. The Grantee Portal provides real-time information on your grant's reporting requirements and due dates. By using the Grantee Portal, you may view a copy of this commitment letter, download copies of forms, and upload required reports directly to the Mott Foundation. For more information about the Grantee Portal, contact your program officer or login at <https://mott.fluxx.io>. The grant's primary project contact, Lottie Ferguson, can login at <https://mott.fluxx.io> with their registered email address.

#### Use of Grant

Under United States law, Mott Foundation grant funds may be expended only for charitable, scientific, literary, religious, or educational purposes, as specified in section 170(c)(2)(B) of the Internal Revenue Code of 1986, as amended. This grant is to be expended solely in support of the objectives detailed in your proposal submitted April 22, 2021.



The Honorable Sheldon Neeley  
June 15, 2021  
Page 3 (Grant No. 2021-08616)

Your organization shall not, directly or indirectly, engage in, support or promote violence or terrorist activities.

Your organization confirms that this project is under its complete control. Your organization further confirms that it has and will exercise control over the process of selecting any consultant, that the decision made or that will be made on any such selection is completely independent of the Mott Foundation, and further, that there does not exist an agreement, written or oral, under which the Mott Foundation has caused or may cause the selection of a consultant.

Mott Foundation grant funds may not be used for lobbying expenditures.

Mott Foundation grant funds may not be used for re-granting to secondary organizations.

Your organization may charge this grant only for expenditures incurred or services performed during the grant period specified in this letter.

Your organization may charge this grant only for line item expenditures that were included in your approved budget as referenced in the "Reports" section of this letter. The addition of new line items must have the prior written approval of the Mott Foundation.

Expenditures may not exceed the approved budget amount for the following line item:

- Administrative.

#### Grant Accounting

Your organization is required to maintain financial records for expenditures and receipts relating to this grant, retaining these records and other supporting documentation for five years after the grant's termination date.

Your organization is also required to permit the Mott Foundation to have reasonable access to your files, records and personnel during the term of this grant and for five years thereafter for the purpose of making financial audits, verifications, or program evaluations.

Unless a specific condition (or barrier) is listed in the "Grant Payments" section of this letter, the Mott Foundation does not intend, in its own financial statements, to treat this grant as a "conditional contribution" described under Financial Accounting Standards Board (FASB) Accounting Standards Update (ASU) 2018-08. Your organization should make its own determination as to how to account for this grant in your financial statements and is not required (under FASB ASU 2018-08) to adopt the same accounting treatment as the Mott Foundation.



The Honorable Sheldon Neeley  
June 15, 2021  
Page 4 (Grant No. 2021-08616)

Reports

**The Mott Foundation requires the following report to be submitted for this grant:**

<b>Report Type:</b>	<b>For Period Ending:</b>	<b>Due on or Before:</b>
Final Report	May 31, 2022	July 1, 2022

**The report must include the following parts, which must be submitted together:**

1. A **narrative report** summarizing what was accomplished by the expenditure of funds during the reporting period. Your grant proposal indicated that your organization will work toward achieving certain goals and objectives during the grant period, and the narrative report should include a description of progress made toward achieving the following reporting objectives:
  - A copy of the city's comprehensive annual financial report for the fiscal year ending June 30, 2021.
2. A **financial report** showing the approved budget, expenditures against each line item since the start of the grant, and balances remaining (or overruns) for each line item. For the final report, you must explain all overrun variances that exceed both one thousand dollars (\$1,000) and ten percent (10%) of the budgeted line item amount.

**Your organization must report against the approved budget of \$250,000 submitted on April 22, 2021** (which may be greater than the amount of the Mott Foundation grant). If the approved budget covers multiple years, each report should include cumulative expenditures since the beginning of the grant period. The report must also include a summary of all funding received for this project (listed by source and grant period).

Unless a specific condition (or barrier) is listed in the "Grant Payments" section of this letter, the Mott Foundation is not requiring that your organization achieve any of the reporting objectives listed above as a condition (or barrier) to your receipt and retention of the grant funds. Rather, the reporting objectives are meant to capture your progress in achieving the goals and objectives identified in your grant proposal.

Reports and other grant requirements should be submitted online via the Mott Foundation's Grantee Portal. A default portal account has been setup for the primary project contact. The project contact can login at <https://mott.fluxx.io> with their registered email address. Please contact your program officer if you need assistance or to change the project contact. Standard reporting templates and other forms are available for download via the Grantee Portal.



The Honorable Sheldon Neeley  
June 15, 2021  
Page 5 (Grant No. 2021-08616)

### Undisbursed Funds

Your organization is required to return any undisbursed project funds on a prorata basis to the Mott Foundation within two months after the end of this grant. The prorata refund is computed by multiplying the total undisbursed project funds by the ratio of Mott Foundation funding to total funding received for this project for the grant period. Any refund of less than \$100 will be waived.

### Compliance with Laws

Your organization may not use any portion of the grant funds to undertake any activity for any purpose other than one specified in section 170(c)(2)(B) of the Internal Revenue Code. Further, the Mott Foundation reserves the right to discontinue, modify, or withhold any payments that might otherwise be due under this grant or to require a refund of any unexpended grant funds if, in the Mott Foundation's judgment, such action is necessary to comply with the requirements of any law or regulation.

### Public Information

The Mott Foundation will include information on this grant in its periodic public reports. The Mott Foundation also welcomes grantees to make announcements of grants upon return of this signed commitment letter. A copy of any release should be sent to the Mott Foundation's Communications Department prior to its dissemination. The department is available to provide assistance in your communications efforts.

### Acceptance

This letter contains the entire agreement between your organization and the Charles Stewart Mott Foundation, and there are no conditions or stipulations, oral or written, governing the use of the grant funds other than those contained in this letter.

If your organization agrees to the grant conditions as stated, please **sign and return, via DocuSign**, one complete copy of this letter **with an electronic signature** of an appropriate representative of your organization in the space provided. In countersigning this letter, this individual represents to the Mott Foundation that he/she has the authority to sign this letter on the organization's behalf.


This grant may be withdrawn if the Mott Foundation has not received your acceptance within one month from the date of this letter.



The Honorable Sheldon Neeley  
June 15, 2021  
Page 6 (Grant No. 2021-08616)

On behalf of the Mott Foundation, I would like to extend our best wishes for the success of this endeavor.

Sincerely,

DocuSigned by:  
  
8A59BF0328DC4EB  
Mary A. Gailbreath  
Vice President-Administration and Secretary/Treasurer

MAG:cmm

Our organization acknowledges that appropriate personnel have read and understand this letter, that its terms and conditions are acceptable to us, and that we will comply with those terms and conditions.

Name of Grantee: City of Flint

Printed Name of Authorized Signer: \_\_\_\_\_

Authorized Signature: \_\_\_\_\_  
*(This must be an original signature of an authorized representative of the organization.)*

Title: \_\_\_\_\_

Date Signed: \_\_\_\_\_



**Certificate Of Completion**

Envelope Id: 643AF5ED9E0A42B6801EE32182425DDD  
 Subject: Mott Foundation Commitment Letter #2021-08616 - City of Flint  
 Source Envelope:  
 Document Pages: 6 Signatures: 1  
 Certificate Pages: 2 Initials: 0  
 AutoNav: Enabled  
 Envelope Stamping: Enabled  
 Time Zone: (UTC-05:00) Eastern Time (US & Canada)

Status: Sent

Envelope Originator:  
 Chelsea Mohn  
 503 S. Saginaw St.  
 Ste. 1200  
 Flint, MI 48502  
 CMohn@mott.org  
 IP Address: 68.48.141.220

**Record Tracking**

Status: Original  
 6/15/2021 12:51:58 PM


Holder: Chelsea Mohn  
 CMohn@mott.org

Location: DocuSign

**Signer Events**

Mary A Gailbreath  
 MGailbreath@mott.org  
 VP-Administration & Secretary/Treasurer  
 Charles Stewart Mott Foundation  
 Security Level: Email, Account Authentication  
 (None)

**Signature**

DocuSigned by:  
  
 BA55BF0328DC4EB

**Timestamp**

Sent: 6/15/2021 12:57:49 PM  
 Viewed: 6/15/2021 9:25:11 PM  
 Signed: 6/15/2021 9:25:47 PM

Signature Adoption: Pre-selected Style  
 Using IP Address: 73.191.163.204

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

Sheldon Neeley  
 tburn@cityofflint.com  
 Security Level: Email, Account Authentication  
 (None)

Sent: 6/15/2021 9:25:49 PM

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

**In Person Signer Events**

**Signature**

**Timestamp**

**Editor Delivery Events**

**Status**

**Timestamp**

**Agent Delivery Events**

**Status**

**Timestamp**

**Intermediary Delivery Events**

**Status**

**Timestamp**

**Certified Delivery Events**

**Status**

**Timestamp**

**Carbon Copy Events**

**Status**

**Timestamp**

Lottie Ferguson  
 lferguson@cityofflint.com  
 Security Level: Email, Account Authentication  
 (None)

**COPIED**

Sent: 6/15/2021 9:25:49 PM  
 Viewed: 6/15/2021 9:37:27 PM

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

**Witness Events**

**Signature**

**Timestamp**

**Notary Events**

**Signature**

**Timestamp**

**Envelope Summary Events**

**Status**

**Timestamps**

**Envelope Summary Events**

Envelope Sent

**Status**

Hashed/Encrypted

**Timestamps**

6/15/2021 12:57:49 PM

**Payment Events**

**Status**

**Timestamps**



RESOLUTION NO.: 210404

PRESENTED: AUG 18 2021

ADOPTED: \_\_\_\_\_

**RESOLUTION AUTHORIZING THE ACCEPTANCE AND APPROVING A BUDGET  
AMENDMENT FOR THE FLINT ReCAST (Resiliency in Communities After Stress and Trauma)  
GRANT FROM SAMSHA (Substance Abuse and Mental Health Services Administration)**

**BY THE CITY ADMINISTRATOR:**

**WHEREAS**, the City of Flint has been awarded grant funding from the United States Department of Health and Human Services – Substance Abuse and Mental Health Services Administration in the annual Amount of \$1,000,000; and

**WHEREAS**, Grant #1H79SM084918-01 is renewable up to four (4) years, based on performance, for a total of \$5,000,000; and

**WHEREAS**, the budget period of this grant will begin on September 30th, 2021, and go through September 29<sup>th</sup>, 2022; and

**WHEREAS**, the project period for this grant is September 30<sup>th</sup>, 2021 through September 29<sup>th</sup> 2026

**IT IS RESOLVED** that the appropriate City Officials are authorized to do all things necessary to abide by the terms and conditions of United States Department of Health and Human Services - Substance Abuse and Mental Health Services Administration Grant #1H79SM084918-01, in the amount of \$1,000,000.00 renewable up to four (4) years for a total of \$5,000,000.00, to appropriate revenue and expenditure amounts using grant code FHHS21RECAST, and make the grant funds available in the current and any subsequent fiscal years that the funding continues to remain available by the grantor.

**Approved as to Form:**

Angela Wheeler  
Angela Wheeler (Aug 11, 2021 17:52 EDT)  
\_\_\_\_\_  
**Angela Wheeler, Chief Legal Officer**

\_\_\_\_\_  
**Kate Fields, Council President**

**Approved as to Finance:**

Jennifer Ryan  
Jennifer Ryan (Aug 11, 2021 16:23 EDT)  
\_\_\_\_\_  
**Shelbi Frayer, Chief Financial Officer**

CLYDE D EDWARDS  
CLYDE D EDWARDS (Aug 11, 2021 18:06 EDT)  
\_\_\_\_\_  
**Clyde D. Edwards, City Administrator**





RESOLUTION NO.: \_\_\_\_\_

PRESENTED: \_\_\_\_\_

ADOPTED: \_\_\_\_\_

**RESOLUTION STAFF**

**REVIEW**


**Date:** August 11, 2021

**Agenda Item Title:**

**RESOLUTION AUTHORIZING THE ACCEPTENCE AND APPROVING A BUDGET AMENDMENT FOR THE FLINT ReCAST GRANT FROM SAMSHA**

**Prepared by:**

Lottie Ferguson, Chief Resilience Officer

  
Lottie Ferguson (Aug 11, 2021 15:55 EDT)

**Background/Summary of Proposed Action:**

In 2016, the City of Flint was awarded a nearly \$5 million grant from SAMHA to empower local community collaboration to assist youth and families in the City of Flint impacted by the Flint Water Emergency. When the mayoral administration changed in 2019, the program was restored to meet the goals originally intended, with tremendous support from the community, by providing support to more than thirty community based organizations, hosting many events, and providing a variety of training opportunities. The positive impact on the community helped the City of Flint become one of nine cities in the country awarded, and only one of three cities receiving funding for 2021-2026.

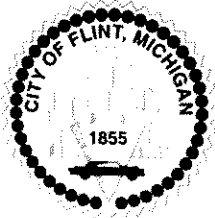
The Flint ReCAST (Resiliency in Communities after Stress and Trauma) Program is intended to assist high-risk youth and families in the City of Flint who have continued to be impacted by the Flint Water Emergency. Flint ReCAST seeks to promote resilience and equity through implementation of evidence-based violence prevention and community youth engagement programs, as well as linkages to trauma-informed behavioral health services. Flint ReCAST envisions the greater Flint community working together in ways that lead to improved behavioral health, empowered community residents, reductions in trauma, and sustained community change.

Flint ReCAST partners with Greater Flint Health Coalition, Crim Fitness Foundation, Michigan State University, and Genesee Health Systems to provide community activities, training (for community leaders, residents, and first responders), evidence-based mental health related services, a community-led mini-grant program and more.

**Financial Implications:**

There is appropriate grant funding with grant code FHHS21RECAST in the amount of \$5,000,000.00. in annual allocations of \$1,000,000.00, Renewable up to four (4) years based on performance.

Account Number & Grant Code	Account Name	Amount
296-649.500-702.000	Wages – Full Time (Non-Exempt)	\$5,000
296-649.500-703.000	Salaried Employee (Exempt)	\$70,000
296-649.500-705.000	Sick, Vacation and Accrued Leave	\$2,500
296-649.500-705.300	Accrued Absences	\$1,605
296-649.500-706.000	Holiday Pay	\$4,500
296-649.500-708.000	Unemployment Compensation	\$1,000
296-649.500-709.000	FICA (Social Security)	\$5,500
296-649.500-711.000	Medicare	\$1,000
296-649.500-716.100	MERS Hybrid Defined Contribution	\$12,000
296-649.500-717.100	MERS Hybrid Defined Benefit Pension	\$13,430
296-649.500-718.000	Health Insurance Premiums	\$12,000
296-649.500-725.000	Employer Health Care Savings Plan	\$2,500
296-649.500-732.000	Life Insurance	\$1,000
296-649.500-733.000	Optical Insurance	\$100



RESOLUTION NO.: \_\_\_\_\_

PRESENTED: \_\_\_\_\_

ADOPTED: \_\_\_\_\_

296-649.500-734.000	Dental Insurance	\$100
296-649.500-735.000	Workers Compensation	\$1,500
296-649.500-752.000	Supplies	\$6,000
296-649.500-801.000	Professional Services	\$825,000
296-649.500-861.100	Transportation – Mileage Reimbursement	\$1,000
296-649.500-880.100	Comm. Related Activities Non-Political	\$2,993
296-649.500-940.000	Rentals	\$5,000
296-649.500-958.000	Education, Training, & Conference	\$2,000
296-649.500-969.100	Indirect Cost Allocation	\$24,272

**Budgeted Expenditure:** Yes \_\_\_ No X

**Please explain, if no:** The funds were awarded after the budget was approved.

**Pre-encumbered:** Yes \_\_\_ No X

**Requisition #:** \_\_\_\_\_

**Other Implications:** No other implications are known at this time.

**Staff Recommendation:** Staff recommends approval of this resolution.

**APPROVAL** Martita Moffett-Page  
Martita Moffett-Page (Aug 11, 2021 16:19 EDT)



**Recipient Information**

- 1. Recipient Name**  
 FLINT, CITY OF  
 1101 S SAGINAW ST  
  
 FLINT, MI 48502
- 2. Congressional District of Recipient**  
 05
- 3. Payment System Identifier (ID)**  
 1386004611A3
- 4. Employer Identification Number (EIN)**  
 386004611
- 5. Data Universal Numbering System (DUNS)**  
 072780067
- 6. Recipient's Unique Entity Identifier**
- 7. Project Director or Principal Investigator**  
 Lottie Ferguson , MS  
  
 lferguson@cityofflint.com  
 8102372006
- 8. Authorized Official**  
 Mr. Chay Linseman  
 clinseman@cityofflint.com  
 8102372008

**Federal Agency Information**

- 9. Awarding Agency Contact Information**  
 Ernest Stevens  
 Grants Management Specialist  
 Center for Mental Health Services  
 Ernest.Stevens@samhsa.hhs.gov  
 (240) 276-0631
- 10. Program Official Contact Information**  
 Shane Grant  
  
 Center for Mental Health Services  
 shane.grant@samhsa.hhs.gov

**Federal Award Information**

- 11. Award Number**  
 1H79SM084918-01
- 12. Unique Federal Award Identification Number (FAIN)**  
 H79SM084918
- 13. Statutory Authority**  
 Sec.520A PHS Act, as amended, 42 U.S.C. (290bb-32)
- 14. Federal Award Project Title**  
 City of Flint Resiliency in Communities After Stress and Trauma (ReCAST) Project
- 15. Assistance Listing Number**  
 93.243
- 16. Assistance Listing Program Title**  
 Substance Abuse and Mental Health Services\_Projects of Regional and National Significance
- 17. Award Action Type**  
 New Competing
- 18. Is the Award R&D?**  
 No

**Summary Federal Award Financial Information**

<b>19. Budget Period Start Date</b> 09/30/2021 – <b>End Date</b> 09/29/2022	
<b>20. Total Amount of Federal Funds Obligated by this Action</b>	\$1,000,000
20a. Direct Cost Amount	\$975,728
20b. Indirect Cost Amount	\$24,272
<b>21. Authorized Carryover</b>	\$0
<b>22. Offset</b>	\$0
<b>23. Total Amount of Federal Funds Obligated this budget period</b>	\$1,000,000
<b>24. Total Approved Cost Sharing or Matching, where applicable</b>	\$0
<b>25. Total Federal and Non-Federal Approved this Budget Period</b>	\$1,000,000
-----	
<b>26. Project Period Start Date</b> 09/30/2021 – <b>End Date</b> 09/29/2026	
<b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b>	\$1,000,000

- 28. Authorized Treatment of Program Income**  
 Additional Costs
- 29. Grants Management Officer - Signature**  
 Eileen Bermudez

**30. Remarks**

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



Notice of Award

Resiliency in Communities After Stress and Trauma  
Department of Health and Human Services  
Substance Abuse and Mental Health Services Administration

**Issue Date:** 07/07/2021

Center for Mental Health Services

**Award Number:** 1H79SM084918-01  
**FAIN:** H79SM084918  
**Program Director:** Lottie Ferguson MS

**Project Title:** City of Flint Resiliency in Communities After Stress and Trauma (ReCAST) Project

**Organization Name:** FLINT, CITY OF

**Authorized Official:** Mr. Chay Linseman

**Authorized Official e-mail address:** clinseman@cityofflint.com

**Budget Period:** 09/30/2021 – 09/29/2022  
**Project Period:** 09/30/2021 – 09/29/2026

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$1,000,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to FLINT, CITY OF in support of the above referenced project. This award is pursuant to the authority of Sec.520A PHS Act, as amended, 42 U.S.C. (290bb-32) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at [www.samhsa.gov](http://www.samhsa.gov) (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,  
Eileen Bermudez  
Grants Management Officer  
Division of Grants Management

See additional information below

**SECTION I – AWARD DATA – 1H79SM084918-01**

**Award Calculation (U.S. Dollars)**

Personnel(non-research)	\$91,000
Fringe Benefits	\$42,735
Travel	\$3,000
Supplies	\$6,000
Contractual	\$832,993
Direct Cost	\$975,728
Indirect Cost	\$24,272
Approved Budget	\$1,000,000
Federal Share	\$1,000,000
Cumulative Prior Awards for this Budget Period	\$0
AMOUNT OF THIS ACTION (FEDERAL SHARE)	\$1,000,000

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
1	\$1,000,000
2	\$1,000,000
3	\$1,000,000
4	\$1,000,000
5	\$1,000,000

\*Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

**Fiscal Information:**

CFDA Number: 93.243  
 EIN: 1386004611A3  
 Document Number: 21SM84918A  
 Fiscal Year: 2021

IC	CAN	Amount
SM	C96J504	\$1,000,000

IC	CAN	2021	2022	2023	2024	2025
SM	C96J504	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000	\$1,000,000

**SM Administrative Data:**

PCC: RECAST21 / OC: 4145

**SECTION II – PAYMENT/HOTLINE INFORMATION – 1H79SM084918-01**

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-

800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

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**SECTION III – TERMS AND CONDITIONS – 1H79SM084918-01**

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

**Treatment of Program Income:**

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

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**SECTION IV – SM Special Terms and Conditions – 1H79SM084918-01**

**REMARKS**

**New Award**

**FY 2021 New Award**

1. This Notice of Award (NoA) is issued to inform your organization that the application submitted through the Funding Opportunity Announcement (FOA) number **SM-21-012 Resiliency in Communities After Stress and Trauma grant program (Re-CAST)** has been selected for funding.

**1a)** This NoA also represents *conditional* approval of the budget submitted on February 15, 2021 as part of the application by your organization.

\*See Special Condition(s) of Award.

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**2. Recipients are expected to plan their work to ensure that funds are expended within the 12-month budget period reflected on this Notice of Award.** If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

**3. All responses to award terms and conditions and post award amendment requests must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions or how to submit a post award amendment request please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading Grant Management Reference Materials for Grantees.

**4. Register Program Director/Project Director (PD) in eRA Commons:**

If you have not already done so, you must register the PD listed on the HHS Checklist in eRA Commons to assign a Commons ID. Once the PD has received their Commons ID, please send this information to your Grants Management Specialist. You can find additional information about the eRA Commons registration process at [https://era.nih.gov/reg\\_accounts/register\\_commons.cfm](https://era.nih.gov/reg_accounts/register_commons.cfm).

**5. Key Staff**

Key staff (or key staff positions, if staff has not been selected) are listed below

**Lottie Ferguson, Project Director @ 70% Level of Effort**

**\*\*TBD-Program Manager @ 100% Level of Effort**

***Organizations receiving Federal Funds may not exceed 100% level of effort for any program staff member (Key Staff or otherwise) across all federally funded sources.***

***\*\*See Special Condition of Award.***

Any changes to key staff—including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project—requires prior approval and must be submitted as a post-award amendment in eRA Commons.

For additional information on how to submit a post-award amendment, please visit the SAMHSA website: <https://www.samhsa.gov/grants/grants-management/post-award-changes>. Any technical questions regarding the submission process should be directed to the eRA Service Desk: <http://grants.nih.gov/support/>.

**SPECIAL TERMS**

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## SPARS

All SAMHSA recipients are required to collect and report certain data so that SAMHSA can meet its obligation under the Government Performance and Results Act (GPRA) Modernization Act of 2010. These data are gathered using SAMHSA's Performance and Accountability Reporting System (SPARS). **ReCAST** recipients are required to:

(1) complete Annual Goals training and enter annual goals data into SPARS by **December 30, 2021**; and

(2) begin collecting and reporting data into SPARS in the second quarter (**January - March 2022**). SPARS training and technical assistance will be provided post award.

Information about SPARS training and data reporting will be provided upon award.

## Risk Assessment

The Office of Financial Advisory Services (OFAS), SAMHSA may perform an administrative review of your organization's financial management system. If the review discloses material weaknesses or other financial management concerns, grant funding may be restricted in accordance with 45 CFR 75/2 CFR 200, as applicable. The restriction will affect your organization's ability to withdraw funds from the Payment Management System account, until the concerns are addressed.

## Disparity Impact Statement (DIS)

By, November 30, 2021, submit via eRA Commons a Disparity Impact Statement (DIS).

The DIS should be consistent with information in your application regarding access, \*service use and outcomes for the program and include three components as described below. Questions about the DIS should be directed to your GPO. Examples of DIS can be found on the SAMHSA website at: <https://www.samhsa.gov/grants/grants-management/disparity-impact-statement>

\*Service use is inclusive of treatment services, prevention services as well as outreach, engagement, training, and/or technical assistance activities.

The disparity impact statement consists of three components:

1. Proposed number of individuals to be served and/or reached by subpopulations in the grant implementation area should be provided in a table that covers the entire grant period. The disparate population(s) should be identified in a narrative that includes a description of the population and rationale for how the determination was made.
2. A quality improvement plan for how you will use your program (GPRA) data on access, use and outcomes to monitor and manage program outcomes by race, ethnicity and LGBT status, when possible. The quality improvement plan should include strategies for how processes and/or programmatic adjustments will support efforts to reduce disparities for the identified subpopulations.
3. The quality improvement plan should include methods for the development and implementation of policies and procedures to ensure adherence to the Enhanced Culturally and Linguistically Appropriate Services (CLAS) Standards and the provision of effective care and



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services that are responsive to:

- a. Diverse cultural health beliefs and practices;
- b. Preferred languages; and
- c. Health literacy and other communication needs of all sub-populations within the proposed geographic region.

**All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

## **SPECIAL CONDITIONS**

### **Revised Key Personnel Level of Effort**

By, **October 30, 2021**, submit via eRA Commons a Key Staff Submission appointing a candidate as a *Program Manager @ 100% Level of Effort.*

*Organizations receiving Federal Funds may not exceed 100% level of effort for any program staff member (Key Staff or otherwise) across all federally funded sources.*

*All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.***

### **Revised Budget**

By, **October 30, 2021**, submit via eRA Commons a revised budget narrative indicating a slot for the key staff position of Program Manager @ 100% Level of Effort.

**All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

### **Participant Protection**

By, **October 30, 2021**, submit via eRA Commons a response to the following Participant Protection concern raised by SAMHSA's Initial Review Group:

- **Protect Clients and Staff from Potential Risks:** The applicant organization describes transmitting information electronically which has an inherent risk of confidentiality being compromised. Additionally, it does not describe in the body of the application how the community affected by the proposed project would have access to electronic submissions that would ensure confidentiality could be maintained. Moreover, the applicant organization also indicates that there will be no psychological adverse effects while receiving mental health treatment, but trauma informed practices would indicate there is a risk of negative physical and psychological effects in the process of receiving trauma informed therapies.

- **Adequate Consent Procedures:** The consent form and the Coercion section do not match. The

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coercion section states \$20 gift card, the consent form states \$30 gift card. Additionally, the applicant organization indicates youth under age 18 would provide verbal consent and does not indicate if the parents will be consulted and provide consent for the minor to participate, this could continue the mistrust of the government officials on behalf of the community if youth are being provided services without communication or consent of the parents.

- Risk/Benefit Discussion: The applicant organization does not clearly identify the risks of addressing trauma in a community nor does it adequately describe the benefits of the proposed project implementation.

The response needs to be uploaded via eRA Commons (more information can be found at <https://www.samhsa.gov/grants/grants-training-materials> 'Notice of Award: How to Respond to Terms and Conditions Training'). Please also email, with the grant number in the Subject line, the response to your assigned Government Project Officer and SAMHSA Participant Protection Officer Michelle Bechard ([michelle.bechard@samhsa.hhs.gov](mailto:michelle.bechard@samhsa.hhs.gov)).

All grant funds are available for this project except for those funds directly related to Participant Protection issues as outlined in the FOA. Currently, only activities that do not directly involve Participant Protection issues (i.e., are clearly severable and independent from those activities that do involve Participant Protection issues) may be conducted under this award. This restriction of funds will only be lifted if the Participant Protection issue noted above is appropriately addressed by you as the grantee and resolved to the satisfaction of your designated Government Project Officer and Participant Protection Officer.

**All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons.** For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

### **Marijuana Special Condition (States)**

By no later than October 30, 2021, please submit an attestation statement confirming compliance with the language below.

For state grantees, language in attestation should read, "I certify that all sub recipients comply with the following language:

*Grant funds may not be used, directly or indirectly, to purchase, prescribe, or provide marijuana or treatment using marijuana. Treatment in this context includes the treatment of opioid use disorder. Grant funds also cannot be provided to any individual who or organization that provides or permits marijuana use for the purposes of treating substance use or mental disorders. See, e.g., 45 C.F.R. § 75.300(a) (requiring HHS to "ensure that Federal funding is expended . . . in full accordance with U.S. statutory . . . requirements."); 21 U.S.C. §§ 812(c)(10) and 841 (prohibiting the possession, manufacture, sale, purchase or distribution of marijuana). This prohibition does not apply to those providing such treatment in the context of clinical research permitted by the DEA and under an FDA-approved investigational new drug application where the article being evaluated is marijuana or a constituent thereof that is otherwise a banned controlled substance under federal law."*

*The attestation statement must be on letterhead and signed by the **Authorized Representative.***

*Please email any related questions to [MJQuestions@SAMHSA.HHS.GOV](mailto:MJQuestions@SAMHSA.HHS.GOV)*

**All responses to award terms and conditions must be submitted as .pdf documents in**

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**eRA Commons.** For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions.**

**All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.**

## **STANDARD TERMS AND CONDITIONS**

### **Annual Federal Financial Report (FFR or SF-425)**

All financial reporting for recipients of Health and Human Services (HHS) grants and cooperative agreements will be consolidated through a single point of entry, which has been identified as the Payment Management System (PMS). The Federal Financial Report (FFR or SF-425) initiative ensures all financial data is reported consistently through one source; shares reconciled financial data to the HHS grants management systems; assists with the timely financial monitoring and grant closeout; and reduces expired award payments.

The FFR is required on an annual basis no later than 90 days after the end of each Budget Period. The FFR should reflect cumulative amounts. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

SAMHSA reserves the right to request more frequent submissions of FFRs. If so, the additional submission dates will be shown below.

Your organization is required to submit an FFR for this grant funding:

- **By, December 28, 2022**, submit the Federal Financial Report (FFR)/(SF-425).

Effective January 1, 2021, recipients can connect seamlessly from the **eRA Commons FFR Module** to **PMS** by clicking the “**Manage FFR**” button on the “**Search for Federal Financial Report (FFR)**” page.

- Recipients who do not have access to PMS may use the following instructions on how to update user permission: <https://pms.psc.gov/grant-recipients/access-newuser.html>.
- Recipients who currently have access to PMS and are submitting or certifying the FFR on behalf of their organization, should login to PMS and update their permissions to request access to the FFR Module using the following instructions: <https://pms.psc.gov/grant-recipients/access-changes.html>.
  - Instructions on how to submit a FFR via PMS are available at <https://pmsapp.psc.gov/pms/app/help/ffr/ffr-grantee-instructions.html> (**Must be logged into PMS to access link**)

**If you have questions about how to set up a PMS account for your organization, please contact the PMS Help Desk at [PMSSupport@psc.hhs.gov](mailto:PMSSupport@psc.hhs.gov) or 1-877-614-5533.**

**Note:** Recipients will use PMS to report all financial expenditures, as well as to drawdown funds; SAMHSA recipients will continue to use the eRA Commons for all other grant-related matters including submitting progress reports, requesting post-award amendments, and accessing grant documents such as the Notice of Award.

### **Mid-Annual Progress Report**

By, **March 28, 2022**, submit via eRA Commons the 1st Year Mid-Annual Progress Report.

This Mid-Year report is required for the 1st Year Budget period only and submitted as a .pdf to

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the View Terms Tracking Details page in the eRA Commons System.

The Mid-Year Annual Report must, at a minimum, include the following information:

- Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- A summary of key program accomplishments to-date.
- Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
- Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

**The response to this term must be submitted as .pdf documents in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information.**

For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions**.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

## **Annual Progress Report**

By, **December 28, 2022**, submit via eRA Commons the Annual Progress Report.

The Programmatic Report is required on an annual basis and must be submitted as a .pdf to the View Terms Tracking Details page in the eRA Commons System no later than 90 days after the end of each 12-month budget period.

The Annual Programmatic Report must, at a minimum, include the following information:

- Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- A summary of key program accomplishments to-date.
- Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
- Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data

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to ensure the effectiveness and efficiency of its programs.

**The response to this term must be submitted as .pdf documents in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information.**

For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions**.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

### **Standard Terms for Awards**

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on Page 2 of your Notice of Award. SAMHSA's Terms and Conditions Webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

### ***Consistent Treatment of Costs.***

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also be charged as a direct cost. *Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.).* If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA's understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of 45 CFR 75.364, 45 CFR 75.371, 45 CFR 75.386 and 45 CFR Part 75, Subpart F, *Audit Requirements*.

### **Compliance with Award Terms and Conditions**

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH 45 CFR 75.371, REMEDIES FOR NON-COMPLIANCE AND 45 CFR 75.372 TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

### **Staff Contacts:**

Shane Grant, Program Official  
**Email:** [shane.grant@samhsa.hhs.gov](mailto:shane.grant@samhsa.hhs.gov)

Ernest Stevens, Grants Specialist  
**Phone:** (240) 276-0631 **Email:** [Ernest.Stevens@samhsa.hhs.gov](mailto:Ernest.Stevens@samhsa.hhs.gov) **Fax:** (240) 276-1430